

ATTACHMENTS

Under Separate Cover Council Meeting

6.00pm Tuesday 28 September 2021

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7.12	Audit and Risk Committee - Annual Performance Review				
	Attachment 1	Audit and Risk Committee Self Assessment 2020/21	Δ		

ID	Questions		m		ings s appropri	atel		Percentages					
	Questions	1	[Tick boxes as appropriate]					1 2 3 4 5					
Audit	Committee Charter												
1	The Charter clearly articulates the Committee's roles and responsibilities and provides it with the necessary authority to				2	3			***	***	400		
_	discharge them The Charter facilitates and supports the effective operation of the							0%	0%	0%	40%		
2	Committee				1	4		0%	0%	0%	20%	-	
3	During the past year, the Committee has adequately addressed at of its responsibilities as detailed in the Charter				2	3		0%	0%	0%	40%		
4	The Charter ensures the Committee is sufficiently independent from the management of Council				1	4		0%	0%	0%	20%		
	Totals	0	0	0	6	14		0%	0%	0%	30%		
umme nt	ts / Sugge stion's for Improvement												
	B. Skills and Experience												
5	The Committee has the desired mix of skills to allow it to effectively discharge its responsibilities.				2	3		0%	0%	0%	40%		
6	The Committee has been able to analyse and critically evaluate information presented to it by management.				1	4		0%	0%	0%	20%		
	There is a clear process that Committee members can follow to							· · ·	0.4	0.4	20 %		
7	access advice and for training to improve their skills and knowledge		1		1	3		0%	20%	0%	20%		
8	The Committee's collective skills are adequate in light of its				1	4		0%	0%	0%	20%		
_	responsibilities					-		0%	0%	0%	20%		
9	The Committee has responded appropriately where significant risks and/or control breakdowns have been brought to its attention			1		4		0%	0%	20%	0%		
10	The Committee has shown an openness to new ideas and different				1	4							
-	views in its deliberations. The Committee has been sufficiently probing and challenging in its				_			0%	0%	0%	20%	-	
11	deliberations.			1		4		0%	0%	20%	0%	-	
mment	Totals ts / Suggestions for Improvement	0	1	2	6	26		0%	3%	6%	17%		
												_	
	C. Understanding the Business The Committee has an adequate understanding of Council's:												
	Risk management framework and risk profile meman control namework to magaze agranuan.				3	2		0%	0%	0%	60%		
12	Financial and statutory reporting requirements	_			4	1 4		0%	0%	0%	20%		
	r Legislative compliance requirements				2	3		0%	0%	0%	40%		
	The Committee receives appropriate briefings on: - Current and emerging business risks				2	3		0%	0%	0%	40%		
13	Changes in financial reporting requirements				1	4		0%	0%	0%	20%		
13	Changes in performance reporting requirements			1_1_	1	3		0%	0%	20%	20%	- 1	
	R lintegrity Body reports R Changes in the business/regulatory environment				1	4		0%	0%	0%	20%		
	Totals	0	0	-1	17	27		0%	0%	2%	38%		
ymment	ts / Sugge stions for Improvement												
	D. Meeting Administration and Conduct												
14	The Committee has had an appropriate number of meetings to properly discharge its responsibilities.				2	3		0%	0%	0%	40%		
15	Agendas are structured to allow sufficient time to discuss at critical issues.				1	4		0%	0%	0%	20%		
16	The Committee receives agendas and supporting papers in	_			,	4							
	sufficient time prior to meetings. Agendas and supporting papers are of sufficient clarity and quality.				-	-		0%	0%	0%	20%	į	
17	to enable the Committee to make informed decisions				1	- 4		0%	0%	0%	20%	(
18	Committee meetings are well run and productive Committee minutes are appropriately maintained and provided to		-			- 5		0%	0%	0%	0%	10	
19	Council on a timely basis		_		1	4		0%	0%	0%	20%		
omment	Totals Its / Suggestions for improvement	0	0	0	6	24		0%	0%	0%	20%	- 1	
	E. Communications with Council											_	
200	Committee communications to Council about its deliberations and											_	
20	decisions are appropriate:				1	4		0%	0%	0%	20%	- 1	
21	Committee reports to Council on its activities are appropriate Totals	0	0	0	3	7		0%	0%	0%	30%		
mment	ts / Suggestions for improvement												
	F. Management Commitment & Support												
	Information and briefing papers presented by management meet the Committee's expectations in respect of:												
	The second second second section of the second seco				-	-							
	Council's risk and to end or to store for her to be				- 2	- 3					2007		
22	Council's risk profile and miligating actions for key risks Maintenance of a strong internal control environment.		<u> </u>		2	3		0%	0%	0%	40%		
22	Maintenance of a strong internal control environment that is effective in mitigating key risks				3	2		0% 0%	0%	0%	40% 60%		
22	Maintenance of a strong internal control environment											i	
22	Maintenance of a strong internal control environment that is effective in mitigating key risks Management of Council's compliance and regulatory obligations Council's external reporting requirements			1	3	2		0%	0%	0%	60%		
22	Maintenance of a strong internal control environment that is effective in missating key risks Management of Council's compliance and regulatory iobligators.			1	3	2		0% 0% 0%	0% 0% 0%	0% 0% 20%	90% 20% 0%	1	
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